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(FX /67/ CASE 4-30096B

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EV 483 66 907445

July 12, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1621

ZIMMERMANN ET AL.

Examiner: O'Sullivan, Peter G.

APPLICATION NO: 09/991,184 FILED: NOVEMBER 16, 2001

FOR: CRYSTAL MODIFICATION OF A N-PHENYL-2-PYRIMIDINEAMINE

DERIVATIVE, PROCESSES FOR ITS MANUFACTURE AND ITS

USE

MS: Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §1.97(d).

In accordance with 37 C.F.R. §1.56, applicant wishes to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references are of record in parent Application No. 09/463,097 filed January 18, 2000, and copies are available therein. However, applicant is willing to send copies of any or all of these references at the Examiner's request.

A petition pursuant to 37 C.F.R. §1.97(d) is enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Please charge Deposit Account No. 19-0134 in the name of Novartis in the amount of \$180 for payment of the fee required by 37 CFR §1.17(p) for the submission of an Information Disclosure Statement under 37 CFR §1.97(d).

An additional copy of this paper is here enclosed. The Commissioner is hereby authorized to charge the above-mentioned \$180 fee and any additional fees which may be required to Deposit Account No. 19-0134 in the name of Novartis.

## Statement under 37 C.F.R. §1.97(e)(2)

I, the undersigned, hereby certify that no item of information in this Information

Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than

3 months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7852

7/12/05

Date:

و الأريدان عارية

Oona A. Jackson Attorney for Applicant Reg. No. 48,152



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USE

**MS: Amendment** Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

# PETITION PURSUANT TO 37 CFR §1.97(d)

Sir:

Consideration of the Information Disclosure Statement submitted concurrently herewith is requested. Please charge Deposit Account No. 19-0134 in the name of Novartis in the amount of \$130 for payment of the fee for filing this petition.

An additional copy of this paper is here enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 19-0134 in the name of Novartis.

Respectfully submitted,

Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7852

Date:

Oona A. Jackson Attorney for Applicant Reg. No. 48,152

FORM PTO-1449 (REV. 7-85)

**EXAMINER** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# **INFORMATION DISCLOSURE CITATION**

JUL 1 2 7005

ATTY. DOCKET NO. 4-30096B APPLICATION NO. 09/991,184 APPLICANT ZIMMERMANN et al. FILING DATE NOVEMBER 16, 2001

Group 1621

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|--|----------|--|------|--------|-------------|----------|-------------|---------------|
| SHIM   | 4 TRAD   | U.S. PATENT DOCUMENTS  |      |        |             |          |             |               |
| EXAMINER<br>INITIAL  |          | DOCUMENT NUMBER  | DATE | NAME   | CLASS       | SUBCLASS | FILI        | NG DATE       |
|  | AA       |  |      |        |             |          |             |               |
|  | AB       |  |      |        |             |          |             |               |
|  | AC       |  |      |        |             |          |             |               |
|  | AD       |  |      |        |             |          |             |               |
|  | AE       |  |      |        |             |          |             |               |
|  | AF       |  |      |        |             |          |             |               |
|  | AG       |  |      |        |             |          |             |               |
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|  | AK       |  |      |        | <del></del> | -        | 1           | <u></u>       |
|  | AL       |  |      |        |             |          | 1           |               |
| FOREIGN PATENT DOCUMENTS   |          |  |      |        |             |          |             |               |
|  |          | DOCUMENT NUMBER  | DATE | OFFICE | CLASS       | SUBCLASS | TRAN<br>YES | SLATION<br>NO |
|  | AM       |  |      |        |             |          |             |               |
|  | AN       |  |      |        |             |          |             |               |
|  | AO       |  |      |        |             |          |             |               |
|  | AP       |  |      |        |             | _        |             |               |
|  | AQ       |  |      |        |             |          |             |               |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.) |          |  |      |        |             |          |             |               |
|  | AR       | Buchdunger et al, "Inhibition of the Abl Protein-Tyrosine Kinase in Vitro and in Vivo by a 2-<br>Phenylaminopyrimidine Derivative," Cancer Research 56, pp. 100-104 (1996) |      |        |             |          |             |               |
|  | AS       |  |      |        |             |          |             |               |
|  | АТ       |  |      |        |             |          |             |               |

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

DATE CONSIDERED